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Ocean University of China

Ocean Monitoring and Inspection Center

Monitoring (Inspection) Report

OUC (Testing) No. HDJC2011-001

Client: Headway Technology Co., Ltd.

Project Name: Shipboard Testing of OceanGuard™ Ballast Water
Management System

Inspection Dept.: Marine Chemistry Analysis and Detection Laboratory

Approved by: 李斌

Date of issue: 3/11/2011

Inspected by: Ocean Monitoring and Inspection Center,

Ocean University of China (Official Seal)



STATEMENT

1. The report is invalid without the official seal of Ocean Monitoring and Inspection Center, Ocean University of China (hereinafter referred to as the Center).
2. Copy of the report is invalid without the original seal of the Center.
3. The report is invalid without signature of test operator, verifier, and authorizer.
4. The report is invalid with any alteration.
5. Should any dissidence arisen on the Test Report, please contact the Center within thirty days after receiving the report. An overdue submission of any complains will be disregarded.
6. If the samples were sent to the Center by the client, the Center is only responsible for the testing results, but not for the source of the samples.
7. Test results are valid only for the same batch of samples.
8. Copy of test reports is not allowed without written permission of the Center.
9. This report is in decuplicate, with five for English version and five for Chinese version. For each version, two original copies and one duplicate will be sent to the Client, two duplicates will be kept at the Center and the inspection department (laboratory) respectively for documentation.

To improve our testing capability and service quality continuously and to better serve clients and the community, comments from all sectors of the community to the Center are warmly welcome.

Ocean Monitoring and Inspection Center

Ocean University of China

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Project Description

Entrusted by Headway Technology Co., Ltd., the project comprises tests on physical, chemical and biological parameters of ballast water treated by OceanGuard™ Ballast Water Management System installed on SITC YOKOHAMA, and gas parameters of air in ballast tanks during ballasting and deballasting process. Ocean Monitoring and Inspection Center, Ocean University of China acts as the implementation party of the project, and accredited staffs of the Center were appointed to the test sites to supervise the operation of the whole process and to carry out sampling and on-site inspection as required. Off-site testing samples were delivered to specified laboratories of the Center and tested by accredited staffs within a specified time region. The test was carried out during period of 17th Jan 2011 to 19th Jan 2011.

Monitoring (Inspection) Report

Ocean Monitoring and Inspection Center, Ocean University of China

No. HDJC2011-001

Page 1/6

Name of project	Shipboard Testing of OceanGuard™ Ballast Water Management System					
Client	Name: Headway Technology Co., Ltd. Add: Huite Industrial City, Zhuzhou Road, Qingdao, China Tel: 0532-88702808					
Sampling and Storage	No.	Item	Sample container	Collected volume	Preservation	Expected storage time
	1	Temperature	Plastic bottle	1 L	Test on site	—
	2	Salinity			Test on site	—
	3	TRO	Plastic bottle	1 L	Test on site	—
	4	DOC	Pretreated plastic bottle	1 L	0-4 °C	≤7 days
	5	POC	Pretreated plastic bottle	1 L	0-4 °C	≤7 days
	6	TSS	Pretreated plastic bottle	1 L	0-4 °C	≤24 hrs
	7	Organisms ≥50 μm	Sterile plastic bottle	1 m ³	Test on site	≤6 hrs
	8	Organisms ≥10-50 μm	Sterile plastic bottle	1 L	4 °C	≤24 hrs
	9	Heterotrophic bacteria	Sterile plastic bottle	1000 mL	4 °C	≤24 hrs
	10	<i>E. coli</i>				
	11	<i>Vibrio cholera</i>				
	12	Enterococcus group bacteria				
	13	CO	—	—	Test on site	—
	14	H ₂ S				
	15	O ₂				
	16	H ₂				
	17	Cl ₂				
18	CH ₄					

Test operator: 3/10/2011

Verifier: 3/10/2011

Authorizer: 3/10/2011

Date: 3/10/2011

Date: 3/10/2011

Date: 3/10/2011

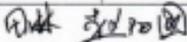
Monitoring (Inspection) Report

Ocean Monitoring and Inspection Center, Ocean University of China

No. HDJC2011-001

Page 2/6

Testing method and major instrument	No.	Item	Method	Major instrument
	1	Temperature	Sensor detection	VICTOR TP01
	2	Salinity	Sensor detection	HOTEC SC-106
	3	TRO	DPD	HACH DR/2800
	4	DOC	Catalytic combustion	SHIMADZU TOC-V _{org}
	5	POC	Catalytic combustion	SHIMADZU TOC-V _{org}
	6	TSS	Weighting	DDG-9203 Electric Blast Drying Oven METTLER TOLEDO EL104 Balance
	7	Organisms > 50 μm	Microscope determination	OPTEC SMJ-T2 Stereo Microscope
	8	Organisms ≥ 10-50 μm	CFDA Most Probable Numbering (MPN)	Leica OMLA Fluorescence Microscope YUE FENG SPX-150 Low-temperature Incubator
	9	Heterotrophic bacteria	Plate counting	YUE FENG SPX-150 Low-temperature Incubator
	10	<i>E. coli</i>	Counting after membrane filtration	YUE FENG SPX-150 Low-temperature Incubator
	11	Enterococcus group bacteria	Counting after membrane filtration	YUE FENG SPX-150 Low-temperature Incubator
	12	<i>Vibrio cholera</i>	Counting after membrane filtration	YUE FENG SPX-150 Low-temperature Incubator
	13	CO	Sensor detection	HAN WEI BX618 Gas Detector
	14	H ₂ S	Sensor detection	HAN WEI BX618 Gas Detector
	15	O ₂	Sensor detection	HAN WEI BX618 Gas Detector
	16	H ₂	Sensor detection	HAN WEI BX170 Gas Detector
	17	Cl ₂	Sensor detection	HAN WEI BX170 Gas Detector
	18	CH ₄	Sensor detection	HAN WEI BX618 Gas Detector
Testing results	See attachment			
Laboratory Environment	Temperature	19.0 °C	Humidity	70 %

Test operator: 

Verifier: 

Authorizer: 

Date: 3/10/2011

Date: 3/10/2011

Date: 3/10/2011

Monitoring (Inspection) Report

Ocean Monitoring and Inspection Center, Ocean University of China
(Attachment)

No. HDJC2011-001

Page 3/6

Testing results of Cycle 4

1. Operation Condition

Name of the system: OceanGuard™ Ballast Water Management System

Manufacturer: Headway Technology Co., Ltd.

Testing Vessel: SITC YOKOHAMA

Cycle NO.: Cycle 4

Ballasting-Deballasting

Date: 2011/01/17—2011/01/19

Weather (Ballasting) : Sunny

Date: 2011/01/17

Locus: Weihai

Longitude: 122° 26.6'E

Latitude: 36° 52.8'N

Water Depth: 9.6 m

Weather (Deballasting): Sunny

Date: 2011/01/19

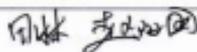
Locus: Qingdao

Longitude: 120° 12.6'E

Latitude: 35° 59.9'N

Water Depth: 40.4 m

Test operator:



Verifier:



Authorizer:



Monitoring (Inspection) Report

Ocean Monitoring and Inspection Center, Ocean University of China
(Attachment)

No. HDJC2011-001

Page 4/6

2. Operation Data

Table 1

Date	Process Description	Start Time	Stop Time	Average Flow Rate (m ³ /h)
2011/01/17	Treated Water Ballasting	10:02	10:37	307.4
2011/01/17	Control Water Ballasting	10:48	11:08	305.7
2011/01/19	Treated Water Deballasting	13:04	13:34	303.0
2011/01/19	Control Water Deballasting	13:45	14:05	303.5

Test operator:



Verifier:



Authorizer:



Monitoring (Inspection) Report

Ocean Monitoring and Inspection Center, Ocean University of China
(Attachment)

No. HDJC2011-001

Page 5/6

3. Testing Results

Table 2 Water Quality and Biological Testing Results During Ballasting

Item	Influent Water	Treated Water During Ballasting	Control Water During Ballasting
Temperature (°C)	3.7±0.1	3.7±0.1	3.8±0.1
Salinity (PSU)	28.1±0.2	28.1±0.2	28.1±0.2
TRO (mg/L)	0.00±0.00	1.77±0.02	0.00±0.01
POC (mg/L)	1.05±0.07	0.88±0.11	0.79±0.13
DOC (mg/L)	2.11±0.14	2.07±0.38	1.95±0.07
TSS (mg/l)	36.3±4.5	15.5±6.2	28.0±4.9
Organisms ≥50 μm (/m ³)	772.0±20.9	0.3±0.6	845.7±19.4
Organisms ≥10-50 μm (CFDA)	331.67±15.17/mL	1.33±1.58/L	324.33±9.07/mL
Organisms ≥10-50 μm (MPN)	276.67±12.50/mL	2.23±0.91/L	232.67±14.98 /mL
Heterotrophic bacteria (/100 mL)	(2.67±1.53)×10 ⁶	(1.89±0.48)×10 ⁵	(3.04±1.11)×10 ⁶
<i>E. coli</i> (/100 mL)	454.7±126.8	0.0±0.0	328.3±102.5
Enterococcus group bacteria (/100 mL)	54.7±9.3	0.0±0.0	32.0±5.6
<i>Vibrio cholera</i> (/100 mL)	0.3±0.6	0.0±0.0	0.3±0.6

Test operator: 周林 孙海超

Verifier: 孙海超

Authorizer: 李国田

Monitoring (Inspection) Report

Ocean Monitoring and Inspection Center, Ocean University of China
(Attachment)

No. HDJC2011-001

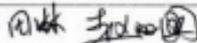
Page 6/6

Table 3 Water Quality and Biological Testing Results During Deballasting

Item	Treated Water During Deballasting (1)	Treated Water During Deballasting (2)	Treated Water During Deballasting (3)	Control Water During Deballasting
Temperature (°C)	3.7±0.1	3.7±0.1	3.7±0.0	3.7±0.0
Salinity (PSU)	28.1±0.1	28.1±0.1	28.1±0.1	28.1±0.1
TRO (mg/L)	0.01±0.01	0.01±0.01	0.01±0.01	0.00±0.00
POC (mg/L)	0.43±0.05	0.55±0.04	0.62±0.05	0.80±0.07
DOC (mg/L)	1.66±0.55	1.66±0.54	1.67±0.06	1.83±0.21
TSS (mg/L)	13.2±3.9	14.1±4.1	11.3±2.8	16.8±2.8
Organisms ≥50 μm (/m ³)	0.0±0.0	0.0±0.0	0.0±0.0	825.0±35.2
Organisms ≥10-50 μm (CFDA)	1.33±2.31/L	0.67±1.15/L	1.33±1.15/L	327.33±10.41/mL
Organisms ≥10-50 μm (MPN)	0.83±1.44/L	0.60±1.04/L	0.67±1.15/L	255.67±9.61/mL
Heterotrophic bacteria (/100 mL)	(1.10±0.36)×10 ³	(2.20±0.36)×10 ³	(1.87±0.61)×10 ³	(3.83±2.64)×10 ⁶
<i>E. coli</i> (/100 mL)	0.0±0.0	0.0±0.0	0.0±0.0	132.7±37.8
Enterococcus group bacteria (/100 mL)	0.0±0.0	0.0±0.0	0.0±0.0	31.7±15.5
<i>Vibrio cholera</i> (/100 mL)	0.0±0.0	0.0±0.0	0.0±0.0	0.3±0.6

Table 4 Results of Gas Measurement

Process Description	Sampling Place	CO (ppm)	H ₂ S (ppm)	O ₂ (%)	H ₂ (%LEL)	Cl ₂ (ppm)	CH ₄ (%LEL)
Ballasting	Treated Tank	0	0	20.9	0	0	0
	Control Tank	0	0	20.9	0	0	0
Deballasting	Treated Tank	0	0	20.9	0	0	0
	Control Tank	0	0	20.9	0	0	0

Test operator: 

Verifier: 

Authorizer: 